Efficiency:
A Staff Networking Session

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NFP Advisor Services Group

2014 NFP STRATEGY SUMMIT

Get to Know Your Peers

Introduce yourself to the group!

- Name, firm, location
- Fun fact about yourself
- What do you hope to gain from Summit this year?

Report Cards

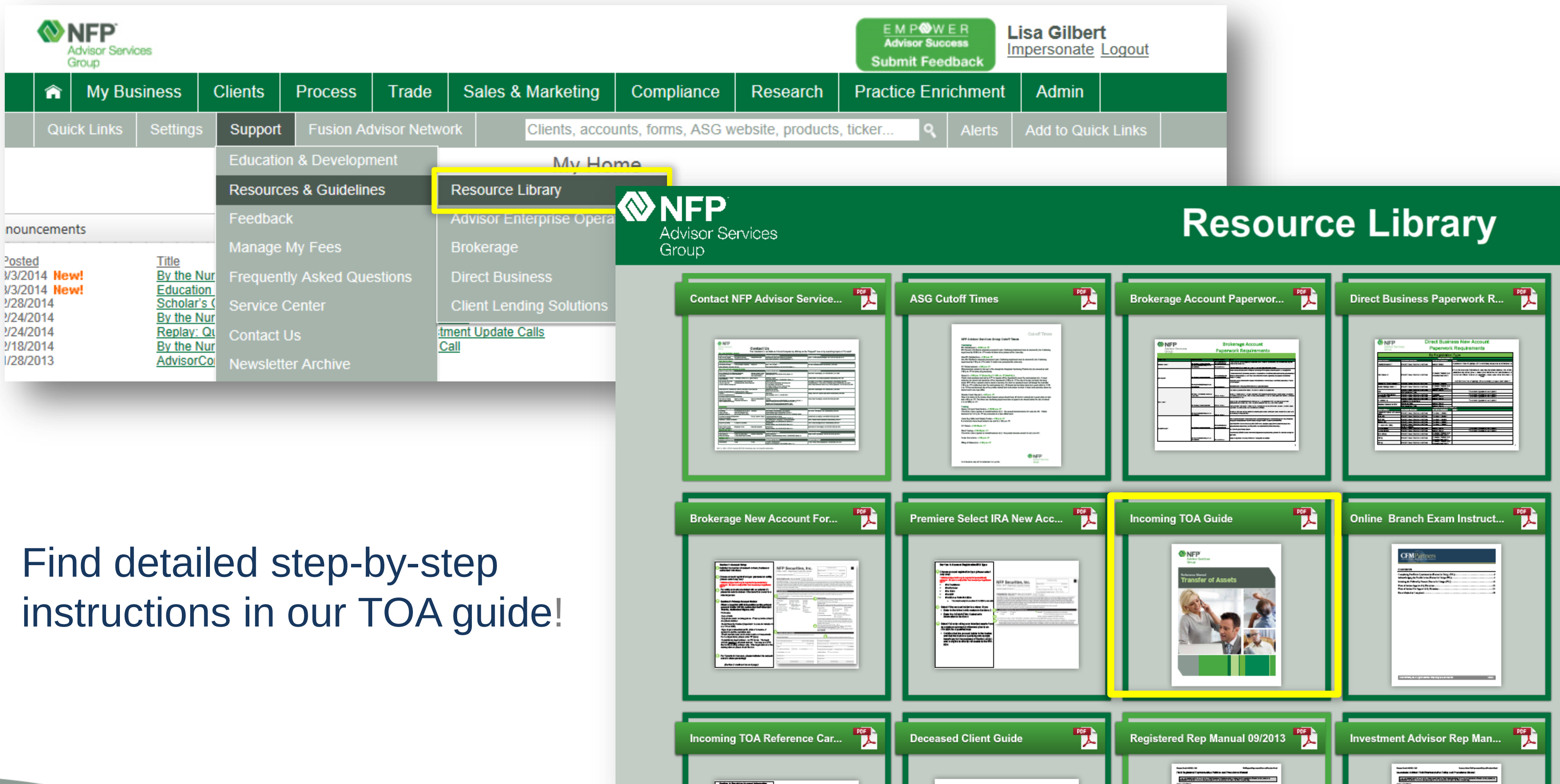
Transfers of Assets (TOAs) shows up on an average **72%** of report cards

- ***Any** corrections require client signature*
- *This is often a "first impression"*

Transfers of Assets

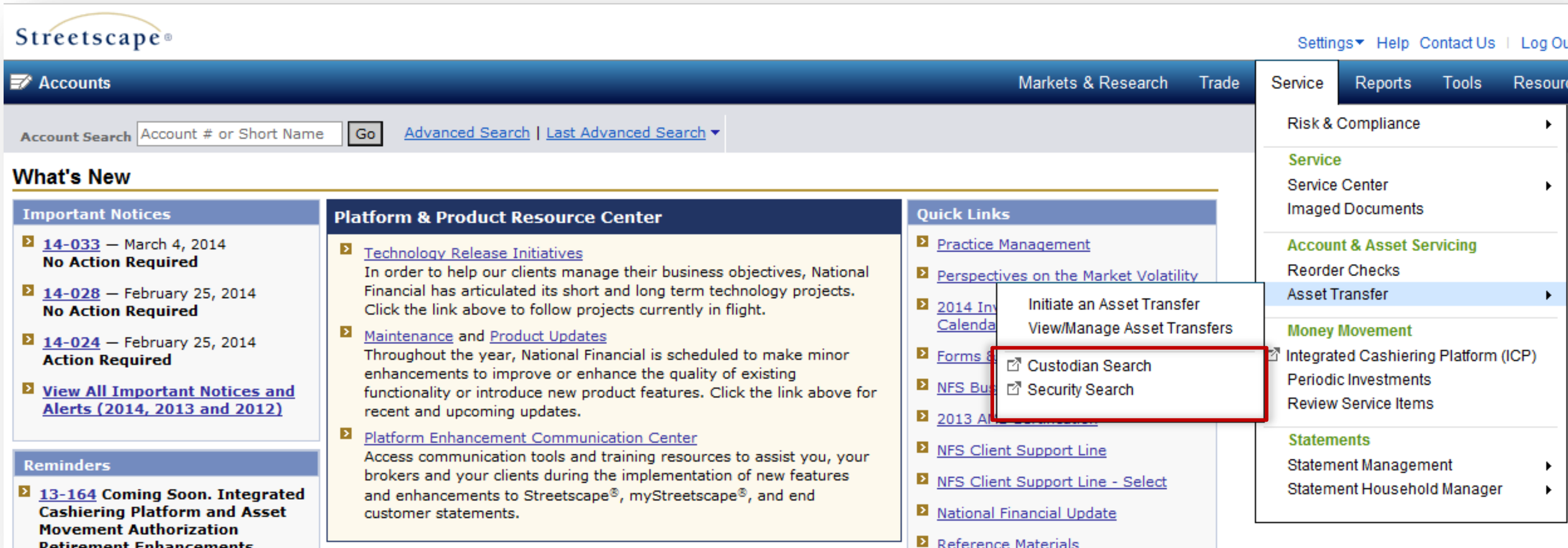
- What are your TOA challenges?
- What resources do you use to help with TOAs?
- What do you need?

Resources Available to You!

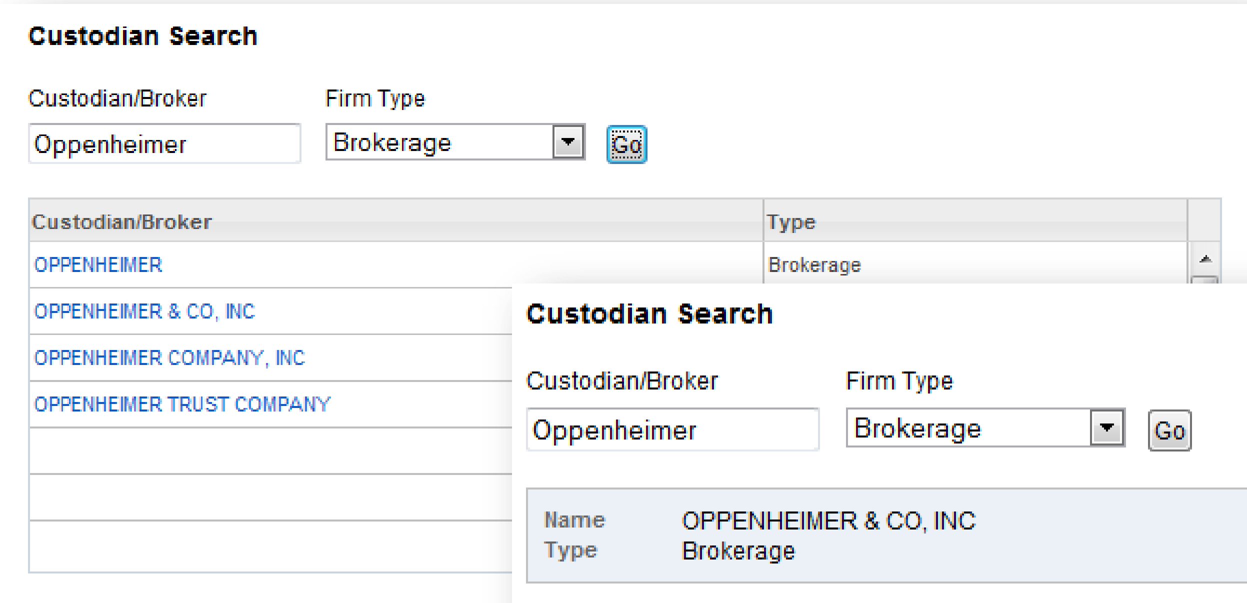


Find detailed step-by-step instructions in our TOA guide!

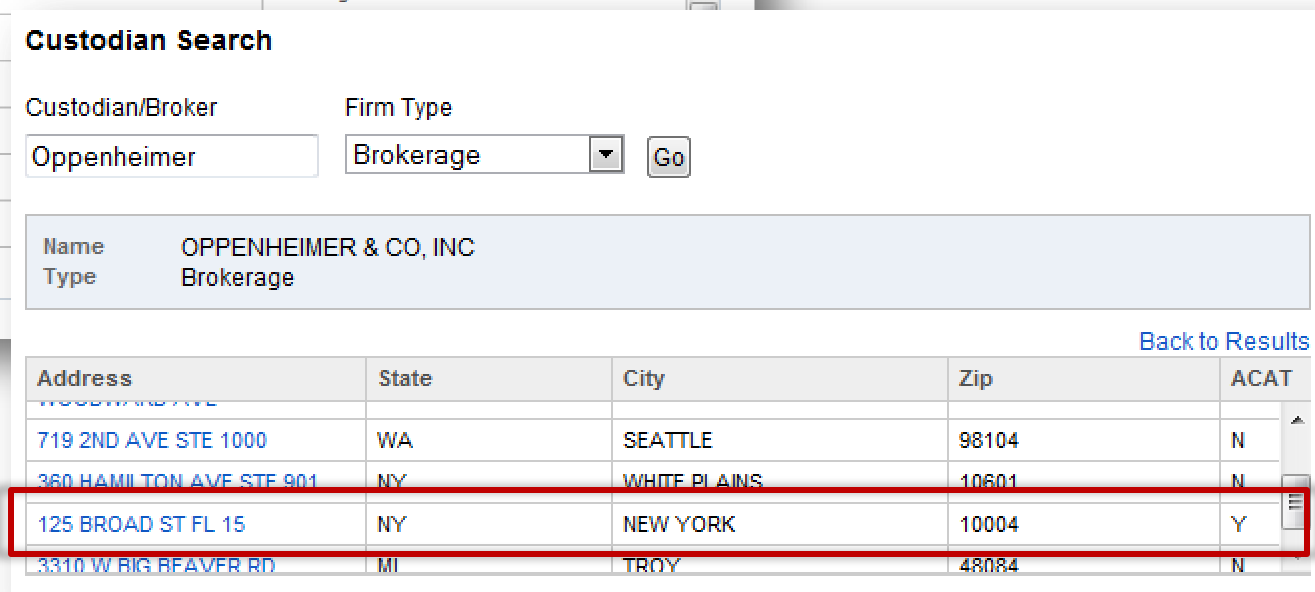
Streetscape – Asset Transfer



Custodian Search

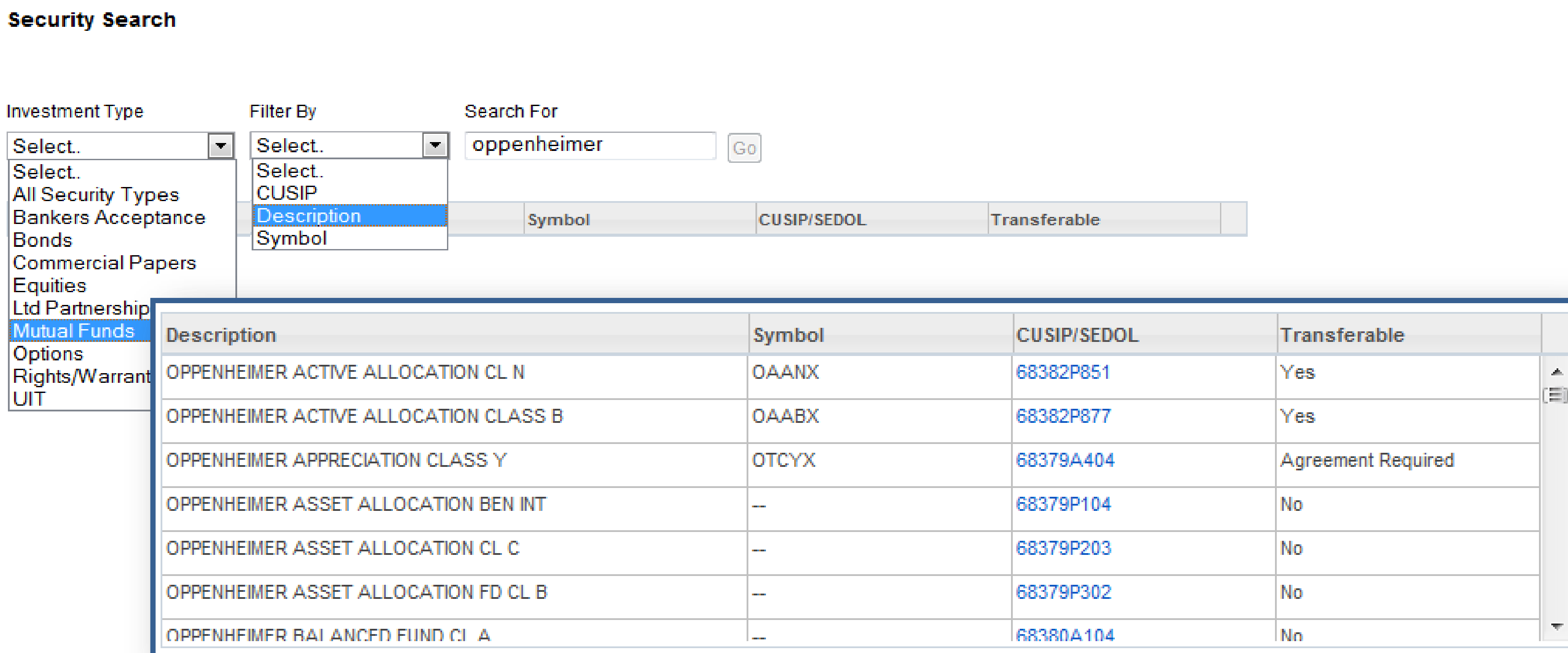


Is the delivering firm ACAT eligible?



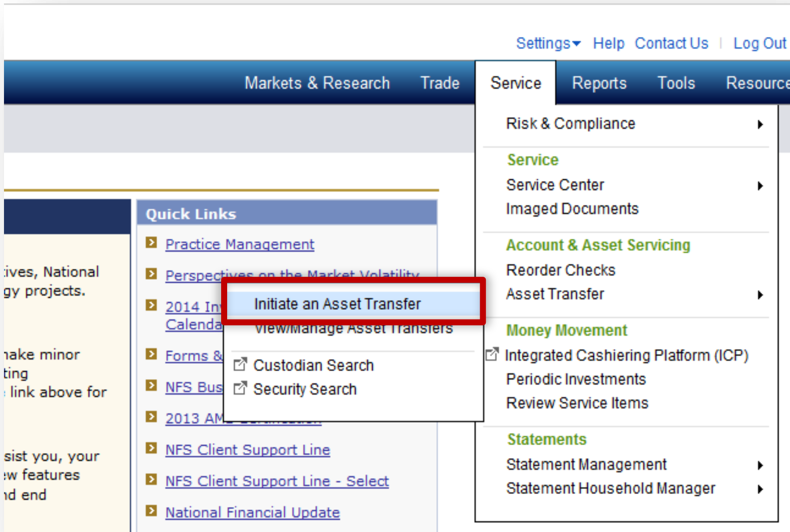
What address do I use?

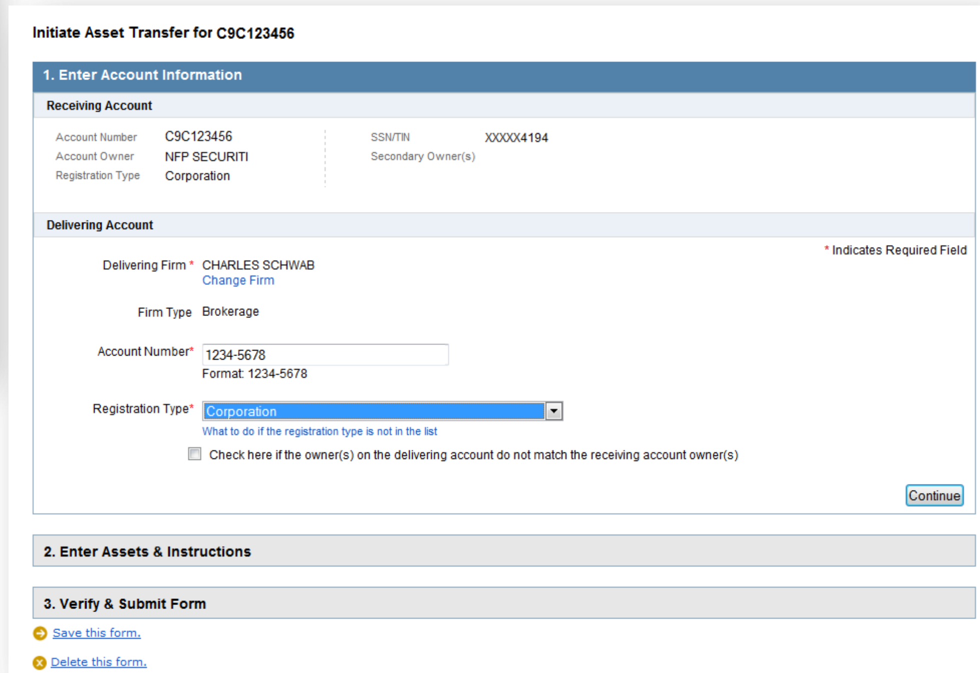
Security Search



Description	Symbol	CUSIP/SEDOL	Transferable
OPPENHEIMER ACTIVE ALLOCATION CL N	OAANX	68382P851	Yes
OPPENHEIMER ACTIVE ALLOCATION CLASS B	OAABX	68382P877	Yes
OPPENHEIMER APPRECIATION CLASS Y	OTCYX	68379A404	Agreement Required
OPPENHEIMER ASSET ALLOCATION BEN INT	--	68379P104	No
OPPENHEIMER ASSET ALLOCATION CL C	--	68379P203	No
OPPENHEIMER ASSET ALLOCATION FD CL B	--	68379P302	No
OPPENHEIMER BALANCED FUND CL A	--	68380A104	No

Initiate Asset Transfer

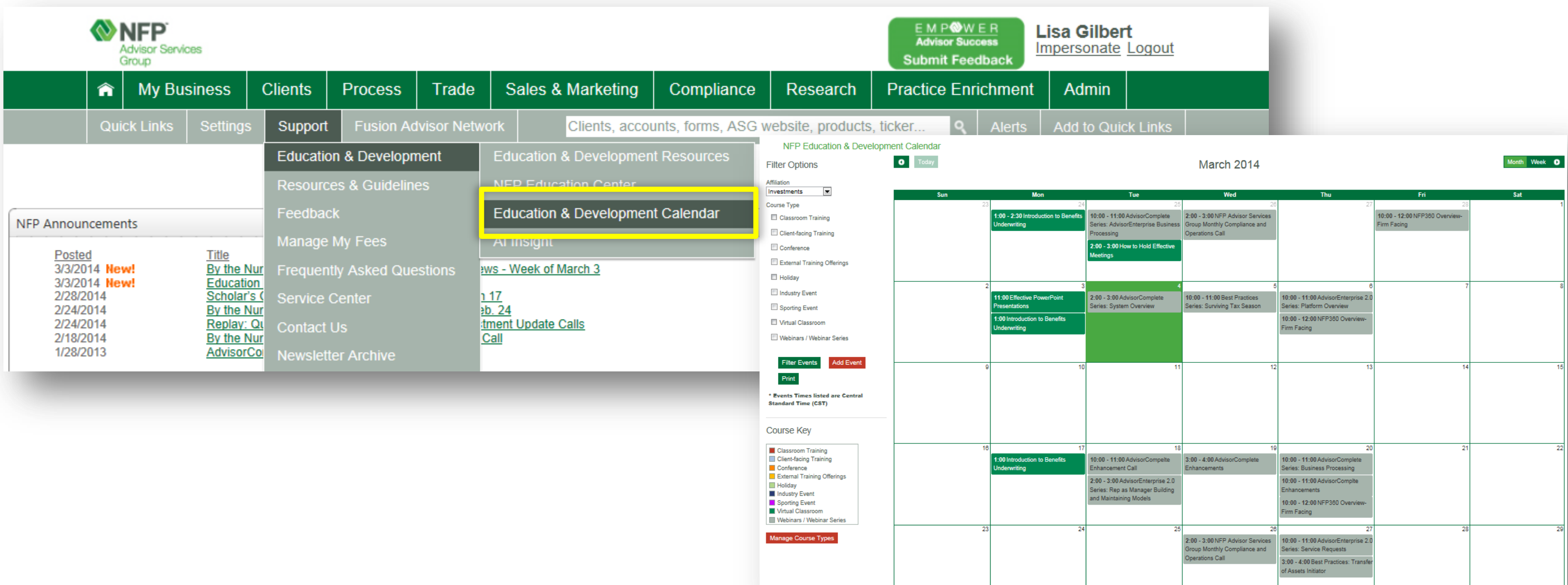




Take the guesswork out of TOA forms using this tool!

Resources Available to You

- Transfer of Assets Best Practices call
  - March 27 at 3 p.m. CT



Awards!

Please congratulate your peers on a job exceptionally well done!